

Articles on financial services topics published in the national and trade press

2011

[FSA: customer complaints](#)

FT Adviser, 12/12/11, Alison McHaffie discusses complaints handling.

[Insurance policies and packaged bank accounts](#)

Insurance Day, 8/12/11, Ash Saluja discusses FSA's proposed new rules to regulate the sale of insurance as part of packaged bank accounts..

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[Exploring liquid property opportunities](#)

FT, 30/10/11, Melville Rodrigues considers ways in which closed-end structures can allow fund commitments to be traded in the secondary market.

[Client money still key for the new protectors](#)

Fund Strategy 10/10/11, Ash Saluja discusses client money in a Q&A feature.

[PRA offers sharper focus](#)

Insurance Day, 29/09/11, Simon Morris discusses PRA.

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[The tougher face of financial regulation](#)

Money Marketing 19/07/11, Simon Morris discusses FCA.

[False accounting](#)

FT Adviser, 16/06/11, Simon Morris discusses changes to FSA's rules for auditor client asset reporting.

[Time to regroup](#)

Chartered Secretary, June 2011, Simon Morris considers the recent HMT paper on the new regulatory regime. *This article first appeared in Chartered Secretary and is reproduced with the kind permission of the publishers.*
www.charteredsecretary.net

[Competition concerns](#)

Money Marketing, 12/05/11, Ash Saluja discusses possible intervention to change competition dynamics through the FCA rulebook.

[The new financial stability objective](#)

State Street Trustees Newsletter, March 2011, Simon Morris discusses macro-prudential regulation and oversight of the financial system as a whole

[Further restrictions on policies](#)

Insurance Day, 25/03/11, Maxine Cupitt and Stephen Netherway discuss increasing regulatory requirements for insurers. *This article first appeared in Insurance Day and is reproduced with the kind permission of the publishers.*
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[The New European System Of Financial Supervision](#)

Financier Worldwide, March 2011, two articles by Ash Saluja in which he discusses the above.

[Advance guard](#)

Money Marketing, 10/03/11, Simon Morris discusses the creation of the FCA.

[How to challenge the ombudsman](#)

Money Marketing, 24/02/12, Simon Morris and Sarah Redlich discuss some recent court cases involving the Financial Ombudsman Scheme.

2010

[Property fund managers: explore opportunities in an alternative direction](#)

Property Week, 19/11/10, Melville Rodrigues discusses the implications of the AIFM Directive for property fund managers.

[“Court bars claimants from seeking further recovery”](#)

Insurance Day 12/11/10, Robert Morris and Sarah Redlich comment on the *Andrews v SBJ Consultants* case

Six ways to prepare yourself for the UK's new Bribery Act

City AM, 17/11/10, Omar Qureshi discusses the Bribery Act.

When the best-laid plans go awry

FT Adviser, 26/08/10, Paul Edmondson and Sarah Redlich discuss FSA's PPI Policy Statement.

There must be an alternative

Money Marketing 13/08/10, Ash Saluja considers the AIFM Directive.

Insurers face rough road as BoE begins supervisory role

Insurance Day, 6/08/10, Maxine Cupitt writes an article on regulatory reform and the insurance sector.

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Consumers cannot be protected from everything

Money Marketing, 4/08/10, Simon Morris considers the implications of the proposed Consumer Protection and Markets Authority.

Rewriting the rulebook

FT Adviser, 22/07/10, Paul Edmondson and Victoria Banks discuss FSA's stance on corporate governance.

Non-executive Directors: Their Enhanced Role in the Aftermath of the Banking Crisis

Bloomberg, July 2010, John Cadman, Maxine Cupitt and Paul Edmondson discuss FSA and FRC regimes on non-execs as they apply to companies with a premium listing on the LSE.

FSA toughens up on client money

Money Marketing, 17/06/10

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Prip tide

Money Marketing, 7/05/10, Simon Morris and Gemma Jones discuss the RDR.

Slings and arrows

Financial Adviser, 29/04/10, Paul Edmondson writes an article looking at ARROW visits.

Rebate conditions

Money Marketing, 22/04/10, Lindsay Concannon writes an article looking at the legal position on group personal pension commission rebates.

Living wills to be the gauge for risk

Money Marketing, 26/03/10, Paul Edmondson writes an article on living wills.

2009

Money doesn't grow on trees

Argent, December 2009, Simon Morris writes an article on financial capability.
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Finding the way forward

FT Adviser, 19/11/09, Paul Edmondson writes an article on the selling of PPI.

Prepare yourselves as EU turns spot light on unregulated firms

Property Week, 25/09/09, Melville Rodrigues writes an article on the European Commission's proposal for an alternative investment fund managers directive.
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www.propertyweek.com

Directors' liabilities in a downturn

Practical Law Company Magazine, 01/08/09, Maxine Cupitt and Robert Hickmott write an article on examining directors' liabilities in the context of the current economic downturn.
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Subscription enquiries 020 7202 1200 or via www.practicallaw.com

Utmost Good Faith

VAT Digest, July/August 2009, Peter Mason and Hamish Garnett write an article on the exemptions from VAT for insurance and financial services.

Regulatory reform high on the political agenda

Insurance Day, 31/07/09, Paul Edmondson writes an article on ideas on regulatory reform.
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Talent would be deterred just when it is needed

The Independent, 17/07/09, Nicholas Stretch writes an article on future remuneration of city bankers and traders and the negative impact that changes could have.

Lack of corporate governance concern for funds industry

Hedge Funds, 1/07/09, Ash Saluja writes an article on lack of latest corporate governance proposals.

Insurers beware post-crisis regulatory mission creep

Insurance Day, 26/06/09, Paul Edmondson writes an article on policymakers assessing the damage and getting tough. *This article first appeared in Insurance Day and is reproduced with the kind permission of the publishers.*
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Credible deterrence

Financial Adviser, 28/05/09, Simon Morris and Alison McHaffie write an article on the FSA's approach to senior management and non-executive directors.

Course for complaint

Money Marketing, 14/05/09, Simon Morris and Sarah Redlich write an article on the FSA's complaint handling.

Turner review warns that more regulation is likely

Insurance Day, 14/04/09, Maxine Cupitt writes an article on the Turner Review. *This article first appeared in Insurance Day and is reproduced with the kind permission of the publishers.*
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Legal eagles on EU regulation

Unquote, 6/04/09, Ash Saluja writes an article on the recently leaked EC report.

The calm before the dispute storm

Legal Week (Web), 18/03/09, Maxine Cupitt writes an article on the potential rise in disputes following the economic downturn.

Nipping it in the bud

FT Adviser, 20/02/09, Paul Edmondson writes an article on the payment protection insurance market.

Solvency II faces double implementation challenge

Insurance Day, 6/02/09, Paul Edmondson writes an article on Solvency II. *This article first appeared in Insurance Day and is reproduced with the kind permission of the publishers.*
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Keeping control: Assigning responsibility within regulated firms

FS Focus, 02/09, Simon Morris writes an article assessing proposed changes to the Approved Persons regime.

CRAs under tight scrutiny in proposed statutory law

Insurance Day, 01/09, Paul Edmondson and Chris Bradshaw write an article on proposed EC legislation for credit rating agencies.

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